



# AllianceBernstein Schweiz AG – product and services information

Information about financial services and financial instruments pursuant to Art. 8-9 of the Financial Services Act ("FinSA") and Art. 6-15 of the Financial Services Ordinance ("FinSO")

## Name and contact

AllianceBernstein Schweiz AG (the "Company")

Talstrasse 83, 8001 Zurich, Switzerland

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## Regulatory status

The Company is a financial services provider pursuant to FinSA. The Company is not prudentially supervised by the Swiss Financial Market Supervisory Authority ("FINMA").

## Business activity and financial services offered, including market offer taken into account

The Company's field of activity is to distribute and market, in and from Switzerland, financial products, in particular collective investment schemes operated or managed by companies within the AllianceBernstein Group ("AB Group"), directly to professional and institutional clients in Switzerland.

As part of its business activity, the Company may distribute, and market collective investment schemes managed by companies within the AB Group (the "Funds") in the form of an acquisition or disposal of financial instruments pursuant to Art. 3 lit. c ch. 1 FinSA and Art. 3 para. 2 FinSO. The Company does not accept any payments for the purpose of acquiring units of the Funds.

The Company does not provide any other financial service pursuant to FinSA and, in particular, does not provide any portfolio management or investment advice.

Accordingly, from a regulatory perspective, the Company is not required to perform a suitability and appropriateness verification pursuant to FinSA, meaning that the Company does not take into account the investment objectives, investment strategies or financial circumstances or knowledge and experience of the client when providing financial services to clients. This information is given once and may not be repeated in the future.

## Client Advisers Register

The client advisers of the Company are registered with BX Swiss AG, a Swiss Client Advisers Register authorised by FINMA in accordance with Art. 31 para. 1 FinSA.

## Risk and cost information about the financial services offered and the Funds

Transactions with financial instruments are associated with opportunities and risks. It is therefore important that you are familiar with and understand these risks before using a financial service.

The essential features and the functionalities of the Funds as well as the risks and costs associated with and any obligations arising from an investment in the Funds for the client are described in their offering documents which may be obtained on request from the Company.

Information on further costs incurred in connection with any transaction in financial instruments may be obtained from the financial intermediary (e.g. bank) involved in such transaction and appointed by the client.

The Company does not charge any fees for the provision of the financial service in the form of an acquisition or disposal of financial instruments pursuant to Art. 3 lit. c ch. 1 FinSA and Art. 3 para. 2 FinSO.